ADVOCACY FOR TSUNAMI MITIGATION AND PREPAREDNESS OF U.S. SCHOOLS ALONG THE PACIFIC RIM


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Abstract

According to the National Centers for Environmental Information, high tsunami risk exists for coastal regions in the United States along the Pacific Rim and existing schools located in these regions pose a unique challenge for both mitigation and planning.[1] With a committee of volunteer experts in tsunami hazard assessment and mitigation, a project is currently underway as a part of the Earthquake Engineering Research Institute’s School Earthquake Safety Initiative (SESI) to identify schools in tsunami hazard zones. The goal of this project is to map schools located in tsunami hazard zones and to advocate for mitigation and planning to reduce school tsunami risk. This paper will document the process used to identify and map at-risk schools in these tsunami zones, and share advocacy and dissemination activities used to reach parents, teachers, and school administrators in these regions to help encourage them to mitigate risks posed to school children.

The first phase of the project is identifying and mapping schools in the newly released ASCE 7 – 16 Tsunami design zone cross referenced by local/state evacuation zones. This work has identified 190 at-risk schools in Alaska, California, Hawaii, Oregon, and Washington. The second phase will coordinate with state and federal tsunami programs and the National Tsunami Hazard Mitigation Program to consider and conduct various advocacy, outreach and dissemination activities to offer useful and necessary information to school administrators, decision makers, and other stakeholder groups. This is in conjunction with EERI’s subprogram, “Creating an Advocacy Network for Improved School Earthquake Safety,” supporting similar dissemination efforts. Many existing informational resources reviewed by this project will be discussed, and references will be provided to selected exemplary and relevant resources. In the final phase, the project experts will consider and propose various policy recommendations that can be implemented to enhance mitigation and preparedness for schools in regions susceptible to tsunami hazards. The project is expected to be completed by late 2016.

Keywords: School seismic safety, advocacy, Tsunami, mitigation
1. Introduction to EERI’s School Earthquake Safety Initiative & Tsunami Mitigation Subcommittee

1.1 EERI’s School Earthquake Safety Initiative

The School Earthquake Safety Initiative (SESI) is a global and collaborative network of diverse, expert, and passionate professionals committed to creating and sharing knowledge and tools that enable progressive, informed decision making around school earthquake safety. SESI’s vision is to serve the world as a leader in the science, public policy, and advocacy of school earthquake safety. [2]

SESI serves stakeholders in school earthquake safety, from children and their parents, to teachers and administrators; from developers and architects, to engineers and builders; from financial institutions and building and planning officials, to government agencies and emergency managers; from civil servants and commissioners, to local politicians and state and federal legislators. We leverage our extensive expertise and reputation to conduct regionally appropriate actions that make a tangible and positive difference in communities around the world, by protecting the lives of all who inhabit school buildings.

1.2 SESI Tsunami Mitigation for Schools Subcommittee

SESI commenced its project on tsunami hazard mitigation and disaster preparedness in U.S. schools in 2015 with a grant from the Coastal Zone Foundation. A subcommittee of expert professionals identified schools on the Pacific Coast of the United States susceptible to tsunami hazard and began documenting best practices for tsunami mitigation in the U.S. and abroad. The volunteer professionals on this diverse subcommittee include emergency managers from various states with tsunami hazards, structural engineers, policy advocates, school administrators, seismologists, and tsunami experts.

1.3 Background on Tsunami Hazards

Most tsunamis are caused by undersea, subduction zone earthquakes, but also are caused by landslides, triggered by earthquakes in strike-slip zones, that occur above or below the water surface. The source locations of tsunamis, may be local (near-field) that originate close to shore, and distant (far-field) that travel across oceans causing impacts thousands of miles from the originating source.

As they approach shore, tsunamis may have natural warning signs such as water withdrawing. Locally generated tsunamis can arrive to shore within minutes following the earthquake. Therefore, immediate action, such as evacuation to high ground or inland is required because there may be no time for official notification.

Distant tsunamis originate far away from the source location and are most often caused by a subduction zone earthquake. The far-field tsunami waves can travel across oceans to strike a shoreline with an arrival time on the order of several to many hours. While natural warning signs exist, with enough lead time, official warning systems can be very effective at alerting potentially affected communities well ahead of any danger.

The more prepared and educated potentially impacted populations are about what to do in advance of a tsunami, the better chance they have of surviving a tsunami. Tsunami awareness need not be difficult or frightening for the public to understand how to prepare. Simple personal safety, preparedness measures include 1) protect yourself from the local earthquake, 2) get to high ground, 3) stay there until told by local officials it is safe to return to any hazardous area.

Both types of tsunamis are dangerous when communities and schools within the shoreline or hazard zone are unprepared. Historically, near-field tsunamis have been responsible for over 70% of tsunami related fatalities as compared to far-field tsunami hazards. [3] Fortunately, with advanced preparation, communities, schools, students, faculty and staff can survive many of the potentially life threatening near- and far-field tsunamis. [4]
2. Methodology and Approach

The professionals on the SESI Tsunami Mitigation for Schools subcommittee conducted a GIS study to identify schools with tsunami risk by overlaying school locations with tsunami hazard zones along the Pacific Coast, Alaska and Hawaii. This study utilizes new and existing tsunami maps along with school databases to identify schools at risk. The Tsunami Mitigation Committee is also conducting case studies of best practices adopted by schools to reduce their tsunami risk. These best practices, along with mitigation documentation from FEMA and other sources will be compiled and printed as well as digitized. In consultation with existing state and regional tsunami programs, this package will then be disseminated to schools in tsunami hazard zones to assist school administrators prepare for tsunamis, reduce the risk to students and facilities and improve the resilience of the schools. The SESI subcommittee will also work with the National Tsunami Hazard Mitigation Program to ensure that there is consistency with established tsunami preparedness, education, and outreach practices. This project is expected to be completed by late 2016 with outreach efforts continuing into 2017.

3. Identifying Schools with Tsunami Risk

To preliminarily estimate the number of schools with tsunami risk, SESI received data from USGS Western Geographic Science Center Research Geographer, Dr. Nathan Wood. This multi-state study assessed community vulnerability to natural hazards, and highlighted schools with tsunami hazard in California, Oregon, Washington, Hawaii, and parts of Alaska that were subsequently extracted for SESI to reference. This USGS data was created based on various GIS analyses of tsunami-hazard zones for each state and a 2012 nation-wide business database, and is tabulated in Table 1.

<table>
<thead>
<tr>
<th>State</th>
<th>Tsunami Hazard Zone</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oregon</td>
<td>Evacuation Zone (XXL Line) from DOGAMI [6]</td>
</tr>
<tr>
<td>Washington</td>
<td>A combination of Walsh et al 2000 (scenario 1A with asperity) and L1 scenario for Washington from WDNR</td>
</tr>
<tr>
<td>California</td>
<td>The state maximum hazard zone in California from CGS</td>
</tr>
<tr>
<td>Hawaii</td>
<td>The new extreme inundation zone for Hawaii</td>
</tr>
<tr>
<td>Alaska</td>
<td>Maximum tectonically-generated tsunami-hazard zones from AKDGG</td>
</tr>
</tbody>
</table>

This initial data set provided an important starting point for identifying tsunami vulnerable schools. However, because the purpose of the original study was primarily statistical in nature and the data sources were from business records, there existed some inconsistencies found in school facility locations. This study did not identify specific locations of schools within a tsunami hazard zone, thus, the subcommittee decided that further study was needed utilizing the newly available information from ASCE 7-16 (described in more detail in the next section).

Under the leadership of Ian Robertson, SESI conducted an independent GIS study to identify vulnerable schools using the ASCE 7-16 Tsunami Design Zone (TDZ) maps that employ the preliminary, yet consistent, hazard zone methodology across the five western states impacted by tsunamis; further details on the development of ASCE 7-16 can be found in Section 4. SESI members found school location databases for each state in the study to supplement, update, or replace the baseline data from the USGS study. Table 2 shows the sources for school data used in the study.
Table 2 – Sources of School Location Data by State

<table>
<thead>
<tr>
<th>State</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alaska</td>
<td>Alaska Seismic Hazards Safety Commission database from Alaska Department of Education</td>
</tr>
<tr>
<td>California</td>
<td>California Department of Education, Public Schools and Districts</td>
</tr>
<tr>
<td>Hawaii</td>
<td>Hawaii Emergency Management Agency</td>
</tr>
<tr>
<td>Oregon</td>
<td>Oregon Department of Geology and Mineral Industries, Seismic Needs Assessment Project</td>
</tr>
<tr>
<td>Washington</td>
<td>Washington Office of Superintendent of Public Instruction, Education Directory</td>
</tr>
</tbody>
</table>

In order to identify schools located within the ASCE 7-16 Tsunami Design Zones (TDZ), all school GPS locations were imported into Google Earth along with the TDZ maps. All schools that fell within the TDZ were retained in the database, along with schools that straddled the TDZ limit. All other schools were removed from the database. The school locations were cross-checked against Google Earth data layers of public institutions, which occasionally helped to correct errors in the data files. In addition, any private schools or other K-12 institutions that appeared in Google Earth, but were not in the original files, were added to the database. However, it is likely that a number of private and charter schools may not appear in Google Earth or the public school databases, therefore, the total number of private schools will need to be updated once more information is obtained from each state.

4. ASCE 7–2016 Tsunami Design Zones

ASCE/SEI 7 Minimum Design Loads For Buildings and Other Structures (often abbreviated as ASCE 7) is a standard that provides the basis for structural loading requirements in building codes in the United States. It sets minimum requirements for general structural design and includes means for determining dead, live, soil, flood, snow, rain, atmospheric ice, earthquake, and wind loads, as well as their combinations. [7] On regular 6-year intervals, this standard is updated by various committees. During the 2016 update cycle to the new version ASCE 7-16, a new chapter on tsunami design has been incorporated and adopted. SESI decided to use this state-of-the-art information from the new chapter to inform its study.

In an effort to identify all public and private schools in the five western states that are exposed to a tsunami hazard, SESI used the Tsunami Design Zone maps generated for ASCE 7-16 Chapter 6, Tsunami Loads and Effects. These maps were generated based on a 2500-year probabilistic analysis of all earthquake-generated tsunamis in the Pacific Basin. This work was performed primarily by Hong Kie Thio of AECOM and Yong Wei of PMEL, NOAA [8]. The methodology used in this process is one of the first to include appropriate levels of uncertainty based on lack of knowledge of the source mechanisms and modeling accuracy. The resulting maps have been reviewed by various interested parties, including the ASCE 7 Tsunami Loads and Effects subcommittee and the ASCE 7 Main Committee. The maps have been released for a 90-day period of public comment per ASCE 7 standard procedures. However, the maps have not been adopted by the states and, therefore, should be considered preliminary at this time. The ASCE TDZ maps will likely be superseded by higher resolution TDZ maps developed by each state as they move to adopting the ASCE 7-16 tsunami design provisions.

An additional advantage of these maps for the SESI study is that they use a consistent methodology to identify tsunami hazard across all states. Using consistent, nationally developed maps allowed the data and school lists to be more comparable across states. The downside of using these maps however, is that they are new and apply only to the design of new structures, while the evacuation maps have been vetted by state and local agencies and are considered “official.” It is important to note that the TDZ maps are not tsunami
evacuation maps. Thus, SESI endeavored throughout the project to use both ASCE 7-16 Tsunami Design Zone maps and state evacuation maps.

5. Mapping Schools with Tsunami Risk

Based on the procedure described in Section 3, the number of schools falling within the ASCE 7-16 TDZ and the official state and local evacuation maps in each of the five western U.S. states is given in Table 3. The following sections show a sample of schools in each of these states. Note that the number of public and private schools in Alaska reflect those within ASCE Tsunami Design Zone, while those in California, Hawaii, Oregon and Washington include schools in state and local evacuation zones.

<table>
<thead>
<tr>
<th>State</th>
<th>Public Schools</th>
<th>Private Schools</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alaska</td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>California</td>
<td>52</td>
<td>4</td>
</tr>
<tr>
<td>Hawaii</td>
<td>69</td>
<td>32</td>
</tr>
<tr>
<td>Oregon</td>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Washington</td>
<td>18</td>
<td>1</td>
</tr>
</tbody>
</table>

5.1 Alaska

In spite of its long coastline, Alaska has only a few schools at risk of tsunami inundation. It should be noted that the coastline of Alaska fronting the Bering Sea has a less well-defined tsunami hazard, so the ASCE 7-16 TDZ maps only cover regions along the southern coastline of Alaska. Figure 1 shows the location of two of the four schools in Alaska that fall into, or are close to, the TDZ. Even though Seward High School falls outside the TDZ limit, access to the school will be affected during and immediately after a design level tsunami, so it was included in the list.

![Google Earth image showing locations of Seward Elementary and High Schools](image-url)
5.2 California

There are a total of 56 schools in California that fall within the ASCE7-16 TDZ, cross referenced by the state/local tsunami evacuation zones. Figure 2 shows 12 public and 4 private schools identified in or near the TDZ in the communities of Newport Beach and Huntington Beach, Southern California.

Fig. 2 — Google Earth image showing locations of Schools in Huntington/Newport, Southern California

5.3 Hawaii

With its concentration of residential centers in the coastal plains, Hawaii has a total of 101 schools (69 public and 32 private) in the ASCE 7-16 TDZ, cross referenced by the state/local extreme tsunami evacuation zones. There are a total of 67 schools in the evacuation zone on Oahu, 16 in Maui County, 10 in Hawaii County and 8 on Kauai. The official student population of all of these schools is close to 50,000 students within the TDZ during a regular school day. Figure 3 shows the location of 14 schools in the TDZ in downtown Honolulu on the south shore of Oahu.
5.4 Oregon

There are 10 schools in Oregon that fall within the ASCE 7-16 TDZ, cross referenced by the state/local tsunami evacuation zones. Figure 4 shows the location of schools and the district administrative office in Seaside, Oregon, relative to the TDZ. [9]

5.5 Washington

In Washington State there are 19 schools in the TDZ, cross referenced by the state/local tsunami evacuation zones. Figure 5 shows the location of Ocosta Elementary and Junior-Senior High Schools within the TDZ. Construction of a vertical evacuation structure at these schools is described in the next section.
6. Tsunami Mitigation Case Study Examples

The following sections highlight case studies of tsunami hazard mitigation strategies in schools within selected states. These range from physical mitigation to action-based preparedness, each with distinct pathways toward solutions, and showcase a variety of funding mechanisms and techniques. These case studies are being considered by SESI for use as best practices to share with other schools at-risk. Their varied approaches to managing tsunami hazard risk should provide ideas to school stakeholders and demonstrate that there is no “one-size-fits-all” option to tsunami mitigation.

6.1 Lincoln County School District, Oregon: Relocation and Open Space

Lincoln County schools on the Oregon coast had instated district-wide tsunami and evacuation drills, yet administrators were afraid that the age and condition of the buildings may lead to collapse during an earthquake and tsunami event, along with the impending danger of the evacuation routes leading through landslide zones. In 2006, the district reconfigured two schools on high ground to increase capacity for students from two other schools located in a tsunami hazard zone that were later closed. The Lincoln County District was able to finance the 58,000 square foot project through special programs, including the Qualified School Construction Bonds which dispersed $15 million in federal aid.

The project is part of the first FEMA pre-disaster tsunami mitigation project in the nation. FEMA also granted the district $3 million in exchange for the demolition of the old high school in a tsunami hazard zone to convert the area into open buffer space. A project such as this can only be approved by FEMA when the benefits exceed the cost of the project. In this case, the 11.5 acres of open space near the coast significantly reduced the potential for loss of life and property, affording the school district federal pre-disaster aid monies. The community is now deciding on the future use of the open space, with options including a multi-use community park with an athletic field, theater components, dog parks and community gardens. [10, 11]

6.2 Ocosta Elementary School, Washington: Vertical Evacuation Structure
The University of Washington led a multi-agency planning team of researchers, professionals and graduates along with the Washington Emergency Management Division to create a community-driven process to identify potential siting for vertical evacuation buildings in low-lying, risk prone regions on the Washington coast. Near the Westport peninsula, Ocosta Elementary had been identified as most vulnerable, for which two previous bond measures to replace the school had failed.

In 2012, a new bond passed allowing for a $2 million wing to be built to Ocosta Elementary school using the FEMA P646 standards (Guidelines for Design of Structures for Vertical Evacuation from Tsunamis) [9] and draft versions of the ASCE 7-16 tsunami design provisions. The group considered several Safe Haven Options described in FEMA 646, including Tsunami resistant towers, buildings and berms. A team of professors and students led citizens through conceptual designs of the vertical evacuation structures appropriate for the communities, ultimately and collectively deciding upon a dual-purpose instructional and safety building engineered by Degenkolb Engineers. The roof of the school gymnasium is designated safe refuge with capacity for over 1,000 people and is accessed from four exterior stair towers, supported by deep foundations anchored 50 feet into bedrock. The project was completed in June 2016, and is the first tsunami evacuation structure in North America (see Fig 6). [12, 13]

![Fig. 6 – Ocosta Elementary School, Proposed Tsunami Evacuation Building [14](image)](image)

6.3 Tsunami Evacuation Guidelines for Schools in Hawaii

In 2012, the Hawai‘i Tsunami Education Curriculum Program (HITEC) and the Pacific Tsunami Museum commenced a 3 year-project with funding from the U.S. Department of Education to offer a multi-faceted program for school preparedness. The program develops place-based education for students in grades 4, 6, 8 and 9 on five islands located in high risk tsunami and flooding inundation zones. HITEC prepares students to become first responders for their family and communities with sensitivity to local customs and culture. In doing so, the curriculum includes non-traditional, yet contextually significant, elder knowledge of disaster management and risk mitigation. Students in field-test schools create emergency preparedness and evacuation route fliers to distribute to the community with the guidance of the program managers and teachers. HITEC also provides teacher training and community lectures transmitted live through video conferences to participating schools, and pre-recorded web-based courses on tsunami hazard education for school children. Regional scientists offer mentorship for teachers and students as a partnership between tsunami experts and middle schools on the islands.

As of 2012, the program has trained 32 teachers who are field testing lessons with students in 17 schools on five islands. Priority is given to schools located in the tsunami evacuation zones with large numbers of Native Hawaiian students. The Pacific Tsunami Museum provides informal consultations to school principals and their safety committees to assist schools in enhancing evacuation preparedness plans and procedures with lessons learned from previous Pacific coast tsunami events. [15]

7. Tsunami Safety Resources
The following paragraphs describe selected resources and guidance documents for schools in tsunami hazard prone regions that SESI can disseminate and share with at-risk schools. Several of the case studies discussed above are also listed below. While some of the resources are more technical in nature, it is envisioned that SESI volunteers can help to explain these resources to interested school administrators to assist stakeholders in both understanding and navigating the many options for enhancing tsunami safety.

**FEMA Guidelines for Design of Structures for Vertical Evacuation from Tsunamis** was updated in 2012 following the Great Tōhoku earthquake and offers general information for vertical evacuation structures and design guidelines. [12]

Kai E’e Hawai’i Tsunami Education Curriculum, Pacific Tsunami Museum. [15]

**National Tsunami Hazard Mitigation Program (NTHMP)** is the federally run organization of federal and state agency partners providing planning and educational guidance for all states with tsunami hazard vulnerability. Included are state and territorial tsunami preparedness websites. [16]

**TsunamiReady® National Oceanic and Atmospheric Administration (NOAA)** is a federal level research and advocacy organization that offers educational resources for students, teachers, and community leaders. Through the NTHMP, state tsunami programs help support communities in obtaining TsunamiReady® status. [17]

**Earthquake and Tsunami Information and Resources for Schools** by the Washington Military Department and the National Tsunami Hazard Mitigation Program documents activities for educators to prepare school children for tsunami and earthquake hazards. [18]

**Oregon Tsunami Clearinghouse** by the State of Oregon Department of Geology and Mineral Industries provides resources for teachers and students on what to do in preparation and response during a tsunami event. [19]

**Preparing Your Community for Tsunamis** is a guidebook for local advocates by GeoHazards International [20]

National Tsunami Education Websites:

- The Tsunami Zone [21]
- National Weather Service, Tsunami Safety [22]

How to Survive a Tsunami Brochure [23]

Video - Tilly Smith, tsunami safety [24]

**Tsunamis in California** teacher workshops are provided via the California Science Teachers Association (CSTA) annual science education conferences and with the Southern California Earthquake Center (SCEC). Additionally CGS/Cal OES reaches out to hundreds of teachers each year at various venues providing them with the following resources:

- CA Tsunami Website [25]
- Tsunami Education, Outreach, and Online Resources [26]
- Tsunami Information poster [27]
- Information Note CA Tsunamis [28]
- Video - *Know What to Do!* [29]

USGS Tsunami Preparedness Videos for California Coastal Regions:

- Tsunami Preparedness in Northern California Area [30]
- Tsunami Preparedness in Southern California [31]
- Tsunami Preparedness along the West Coast, USA [32]
- Tsunami Preparedness in Central California and the San Francisco Bay [33]
Guidance for Local Jurisdictions [34]

*Living on Shaky Ground: How to Survive Earthquakes and Tsunamis in Northern California* was developed by the Humboldt Earthquake Education Center at Humboldt State University in 2011. The document promotes earthquake and tsunami readiness for community members including tips on creating a personal disaster preparedness plan and disaster supply kits. [35]

8. **Next Steps for Advocacy to Schools at Risk from Tsunami**

With the help of the existing state tsunami programs and the NHTMP, EERI and its volunteers acting through the School Earthquake Safety Initiative are considering a campaign to accomplish the following:

- Verify and update the list of schools within tsunami hazard zones using more accurate, state-level tsunami inundation and evacuation maps and/or updated Tsunami Design Zone maps. This will ensure that schools outside accepted, official tsunami hazard areas will not receive unwarranted tsunami mitigation information.
- Conduct outreach to influence and support school administrators, teachers and parents responsible for schools in SESI’s mapped tsunami hazard zones. This outreach will include dissemination of best practices, information, and model literature that describe example mitigation approaches and preparedness procedures, as well as distribution of digital copies of tsunami inundation zones.
- Advocate for measures to improve community awareness of local tsunami risk by publicizing tsunami inundation zones and issues facing schools. The campaign will also encourage state and local government agencies to integrate inundation zones into land use plans.
- Support policies to reduce tsunami risk to school children and adults. This includes restricting construction of new public and private K-12 schools in tsunami inundation zones unless buildings are designed for tsunami forces, provide adequate evacuation options (both vertical, where appropriate, and inland evacuation), and phasing out or relocating existing schools from within inundation zones.
- Disseminate information and model literature to publicize and distribute digital copies of tsunami inundation zones to influence school administrators in taking action toward tsunami safe schools and preparedness procedures within susceptible school districts.
- Issue a media advisory on existing inundation and evacuation maps and pilot programs with recommendations on actions that responsible organizations can take toward school safety and tsunami risk mitigation.

Through these strategic actions, EERI hopes to mitigate tsunami risk at regional, state and national levels by supporting schools facing tsunami hazard risk, and by providing administrators access to experts and sharing best practices for tsunami risk mitigation.

9. **Acknowledgements**

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[26] California Department of Conservation (2015): Tsunami Education, Outreach, and Online Resources. Resource Link: [www.conservation.ca.gov/cgs/geologic_hazards/Tsunami/Pages/TsunamiEducation.aspx](http://www.conservation.ca.gov/cgs/geologic_hazards/Tsunami/Pages/TsunamiEducation.aspx)


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